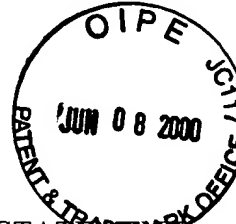


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stuart Serkin et al.
Serial No. : 09/401,892
Filed : September 23, 1999
Title : LOCKED/CROSSED QUOTE HANDLING

Art Unit : Unknown
Examiner : Unknown

Commissioner for Patents
Washington, D.C. 20231



TC 2700 MAIL ROOM

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INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 6/1/2000

Denis G. Maloney
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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

June 1, 2000

Signature

Marie S. Collins

Typed or Printed Name of Person Signing Certificate

Marie S. Collins